## APPELLATE E-FILING INSTRUCTION MANUAL

Starting on January 1, 2016, attorneys must file all appeals and appellate documents electronically and must pay all filing fees electronically. Self-represented parties will begin electronic filing at a later date.

Click on any of the following for general e-filing instructions:

- How do I register for E-Services?
- What information do I need in order to e-file an appeal or writ of error?
- How do I e-file an appeal or writ of error?
- How do I add or change appearance information?
- How do I e-file a motion before an appeal (preappeal motion)?
  - How do I e-file a preappeal motion in the Appellate Court?
  - O How do I e-file a preappeal motion in the Supreme Court?
- How do I e-file a petition for certification to appeal to the Appellate Court?
- How do I e-file a petition for certification to appeal to the Supreme Court?
- How do I e-file preliminary papers and other appeal documents?
- How do I e-file a motion to extend a filing deadline?
- How do I e-file a motion in an appeal (other than to extend a filing deadline)?
- How do I e-file a withdrawal or an opposition or response to any type of motion, preappeal motion or petition?
- How do I submit a brief and appendix electronically?

Click on any of the following for answers to more specific questions:

• Can I file any appeal in the Supreme Court?

#### **Appearances**

- Do I need to file an appearance before I file an appeal?
- How do I file an appearance in a preappeal motion?

# Contact Information/Service Information

- What information do I need to provide to other parties in my case and how should I deliver it?
- How can I request service of documents by nonelectronic delivery or mail?
- How can I change my official e-mail address or contact information?
- Am I required to deliver a copy of the appeal form to the Office of the Chief State's Attorney?

#### **Docket Numbers**

- How do I find and enter my trial court docket number?
- How do I find and enter my small claims docket number?
- How do I find and enter my housing docket number?
- I don't have a trial court docket number. How do I fill in this field in a worker's compensation matter, an original jurisdiction matter, an appeal from the decision of the Judicial Review
   Council or the Council on Probate Judicial Conduct, or a certified question of law from another jurisdiction?
- What if I am appealing from more than one docket number?

- What if I am appealing from more than one nonstandard docket number? For example, more than one workers' compensation matter.
- Why should I enter my docket number when it is easier to enter the case name?
- What happens if I enter my docket number correctly but the computer can't find my case?

#### Fees

- Do I need to pay a filing fee?
- Am I required to pay a fee to resubmit a document that was returned?
- What if I have a fee waiver?
- What forms of payment can I use to pay a filing fee?

#### **Motions**

- Complete list of motions.
- How do I file a motion to transfer from the Appellate Court to the Supreme Court?

# Petitions for Certification to Appeal

- The Supreme Court granted my petition for certification to appeal from the judgment of the Appellate Court. What steps do I take now?
- What if I can't find my Appellate Court docket number or can't enter it correctly?

# **Preappeal Motions**

- Complete list of preappeal motions.
- Do I need permission from the court to file an appeal?

#### Preliminary Papers/Appeal Documents

- What is a preliminary paper or appeal document?
- Complete list of preliminary papers and appeal documents.
- How do I search for a preappeal motion or petition on the appellate home page?

#### Privacy

- Am I required to redact or omit personal identifying information from documents I file?
- Am I required to redact or omit information that is protected by court order, rule or statute?

#### **Uploading Documents**

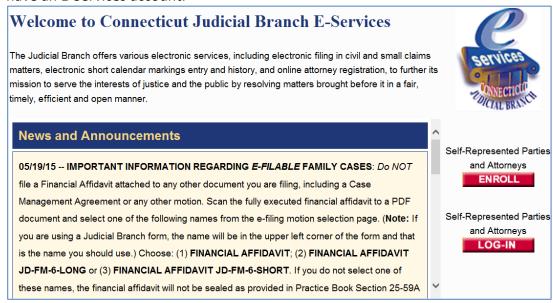
- How do I upload a document?
- Should I upload supporting documents separately?
- Can I file multiple documents in one transaction?

Writs of Error, Workers' Compensation, Certified Questions, Reservations, Original Jurisdiction

- I'm not appealing from a trial court matter. What case type do I select?
- My case was decided by the Compensation Review Board or by a federal judge—will the computer be able to find information about my case?
- My case was not decided by a trial court judge. What name should I enter in the field for the name of the trial judge?
- I am filing an original jurisdiction matter and don't have a filing date or judgment date; how do I answer these questions?
- I have filed a writ of error and need to upload the writ, the return and other documents; how do I upload these documents?

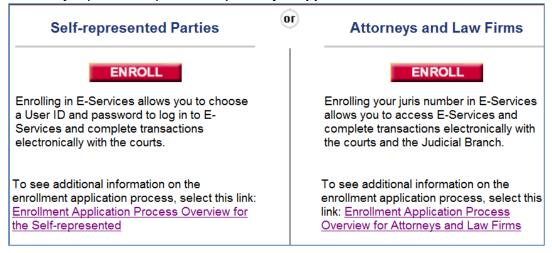
#### How do I register for E-Services?

- 1. In the address bar, type http://www.jud.ct.gov
- 2. Click on **E-Services** in the left menu to go to the E-Services Welcome Page.
- 3. Click on "Enroll" if you do not have an E-Services account. Click on "Log-In" if you already have an E-Services account.



#### **Enrollment Screen**

Note: Self-represented parties may not e-file appellate documents at this time



#### Log in Screen:



# What information do I need in order to e-file an appeal or writ of error?

Be ready to answer the following questions about your case. Your answers will create an appeal form:

To which court are you appealing?

If you are appealing to the Supreme Court, what is the statutory basis for your appeal?

What is the trial court case type?

What is the trial court location code?

In what year was the case filed in the trial court?

What is the full docket number of the matter in which you are appealing?

What is the full name of the case you are appealing?

What is the name of the trial judge whose decision is being appealed?

What are the names of other judges who were involved in the trial proceedings?

Was the case tried to or heard by the court, a jury or another entity?

What are the dates of any judgments from which you are appealing?

What is the notice date on any order that might render the judgment ineffective, such as an order granting a motion to set aside the verdict?

What is the extended deadline for filing the appeal, if the date was extended?

What is the judgment or decision from which you are appealing?

Does your appeal involve any additional docket numbers?

Are you appealing in addition to counsel who appeared in the trial court or in place of counsel or self-represented party who appeared in the trial court?

What is the name and juris number of counsel or self-represented party who appeared in the trial court?

What parties are involved in the appeal and what are the names, juris numbers and addresses of their counsel?

Who is filing the appeal and for whom was judgment rendered in full or in part? Are you ready to deliver a copy of the appeal form to all counsel of record when you complete the e-filing transaction?

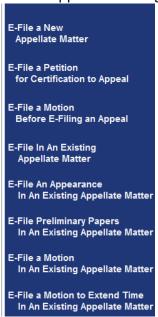
Are you ready to deliver a copy the appeal form to the Office of the Chief State's Attorney in a criminal appeal or habeas appeal when you complete the e-filing transaction?
What is your individual juris number?

If a filing fee is required for your appeal, are you ready to submit your credit card number or electronic check information or to upload a granted fee waiver form?

# How do I e-file an appeal or writ of error?

NOTE: Some appeals cannot be filed unless the court has granted permission to appeal. If you file the appeal without permission, you will lose your filing fee.

1. On the Appellate E-Filing Home Page, select "E-File a New Appellate Matter."



2. Select the court to which you are appealing.

\*To which court are you appealing? ○ Supreme Court ○ Appellate Court

3. Select what you are filing:

Options in the Appellate Court include:

\*Select what you are filing from the following options:

#### Appeal

Appeal after Certification by the Appellate Court

Reservation

Options in the Supreme Court include:

\*Select what you are filing from the following options:

# Appeal

Appeal after Certification by the Supreme Court

Appeal from Decision of Council on Probate Judicial Conduct

Appeal from Decision of Judicial Review Council

Certified Question of Law

**Election Challenges** 

Original Jurisdiction Action

Reservation

Writ of Error

4. If you are appealing to the Supreme Court, provide the statutory basis for your appeal. Your answer is limited to 100 characters. See General Statutes § 51-199 for more information.

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*To which court are you appealing? ● Supreme Court ○ Appellate Court What is the statutory basis for your appeal to the Supreme Court?

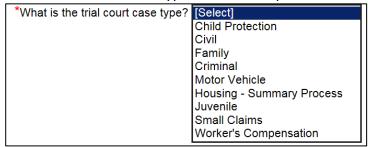
General Statutes § 51-199 (b)
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5. Is this a joint appeal? Click Yes or No.

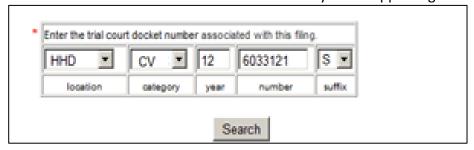


What is a joint appeal?

- a. When two or more plaintiffs or defendants in the same case are represented by different attorneys and are appealing together; or
- b. When separate cases that involve at least one common party are appealed together.
- 6. Select the trial court case type from the drop down menu.



7. Enter the trial court docket number from which you are appealing and click "Search."



8. The computer will search for your case and display the case name if it finds a match. If the case name is correct, select "click here to continue." The computer will then import data about the parties and counsel in your case.

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AAN-CV-11-6007428-S WINDHAM, TROY v. DOCTOR'S ASSOCIATES, INC. Is this the case you are appealing? If YES, click here to continue.

If NO, search again or ENTER CASE NAME.

Note: If you enter the case name, the system will not be able to locate data about the case and you must input the information manually.
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9. The computer will let you know if it cannot find a match. Return to the docket number field to search again or select "Enter Case Name."

# Docket Number AAN-CV-12-6007428-S not found. Search Again. Note: If you search again and find your case, the system will input information from the trial court concerning the parties and proceedings. or Click here to ENTER CASE NAME Note: If you choose to continue, the system will not be able to locate the trial court data and you must input the information manually.

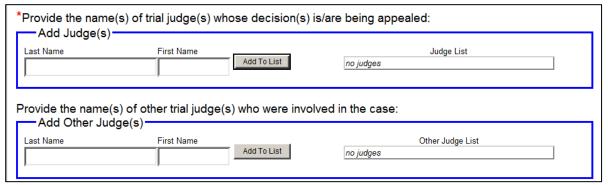
10. If you cannot locate your case, enter the full case name. NOTE: If the computer cannot access information about your case, you must enter information about your case manually.

Please enter the full case name:	
*	v.
Examples: State of Connecticut In Re Joseph B. In the matter of Carl C.	v. John A. Smith

11. Enter the Major/Minor Code in civil and family cases if it was not entered automatically. If you do not know the major/minor code, click on the link for the Major Minor Code List.

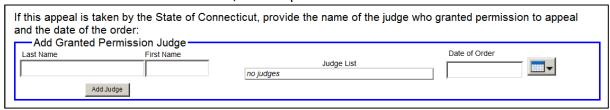
Major/Minor code: Major Minor Code Lis	_			
Major/Millor Code.	Major/Minor code:	Major Minor	Code	List

12. Enter the name of the trial court judge whose decision is being appealed and click "add to list." Enter the names of any additional trial court judges who were involved in the case and click "add to list." after each entry. If the computer recognizes the name, it will fill in the complete name. If the computer does not recognize the name, it will fill in the information exactly as entered.

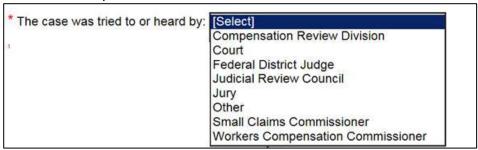


[qoT]

13. When the state files a criminal case, it must provide additional information:



- 14. In a juvenile or child protection appeal, select whether the trial judge has issued an order of temporary custody, an order terminating parental rights, or some other type of order.
- 15. Select the entity that heard or tried the case.



16. Enter the date of the judgment being appealed and click "add to list." You must enter at least one date and may enter more than one date. Click "add to list" after each entry.

*What is/are the date(s		peing appealed?	
Judgment Date  MM/DD/YYYY  Add To List	Judgment Date List no judgment date		
Add To List			

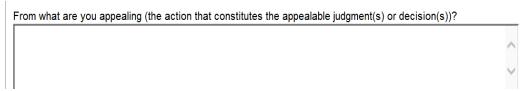
17. Enter the date of any notice that might render the judgment ineffective. For example, if the trial court granted a motion to open the judgment on July 1, 2016 and issued notice of its ruling on July 2, 2016, enter July 2, 2016.

Enter the date that notice was issued on any order that might render judgment in	neffective:
MM/DD/YYYY	

18. If the date for filing the appeal was extended, enter the date to which it was extended. For example, if the trial judge extended the date for filing the appeal from July 1, 2016 to July 12, 2016, enter July 12, 2016.

	<u>'</u>
If the date for	r filing the appeal was extended, to what date was it extended:
MM/DD/YYYY	

19. From what are you appealing? Provide a brief description of the judgment or decision that you are challenging on appeal.



- 20. To file your appeal, you must pay a fee of \$250 at the end of the e-filing transaction. Select and certify any of the following *if applicable*:
  - a. You are filing on behalf of a state agency and no fees are due in connection with your filing.
  - b. You have a valid fee waiver. NOTE: YOU MUST UPLOAD THE FEE WAIVER FORM AT THE END OF THE E-FILING TRANSACTION. IF YOU DO NOT UPLOAD A VALID FEE WAIVER YOUR FILING MAY BE REJECTED.
  - c. No fees are due in connection with this filing. Click here for more information about appellate filing fees.
- 21. Review the name, address, phone number, fax number and e-mail address for the logged in juris number.



- 22. If any of the information is missing or incorrect, type the correct information into the box provided. THIS DOES NOT CONSTITUTE AN OFFICIAL CHANGE OF THE INFORMATION REGISTERED WITH THE STATEWIDE GRIEVANCE COMMITTEE. You may change your registered information in one of two ways. The preferred method is to log into E-Services and choose Change of Information (the change may not take effect for 24 hours or more). The other way is to fill out the paper Change of Information Form JD-GC-10 and mail it to the address indicated on the form. The principal of a firm must change the address associated with the firm's juris number using Form JD-ES-145. Law Firm Juris Number Application or Changes. For more information visit the Judicial Branch Website at: http://jud.ct.gov/SGC/fag\_atty\_registration.htm#5.
- 23. Do you have an appearance in the trial court for this case? If so, it is not necessary to file a new appearance. If you did not have an appearance in the trial court for this case, you must choose one of the following options: Are you appearing in addition to the attorney or self-represented party who appeared in the trial court or in place of the attorney or self-represented party who appeared in the trial court? Check the appropriate box. If you are appearing in place of another attorney or self-represented party, provide their name and juris number.

Please choose any of the following options that apply:
Counsel or self-represented party who files this appeal will be deemed to have appeared in addition to counsel of record wh appeared in the trial court.
☐ Counsel or self-represented party who files this appeal is appearing in place of:
Name of counsel or self-represented party:
Juris Number (if applicable):

NOTE: If you check the second box, you must provide the name of the attorney or self-represented party you are appearing in place of.

24. Who is filing the appeal? Use the check boxes to select the appropriate party or parties. *NOTE: If the computer was not able to access information about your case, you must enter information about the parties manually by using the box pictured in step 26.* 

Enter information about the parties in the trial court proceedings by using the checkboxes in the first column to select the party/parties who are filing this appeal and by using the checkboxes in the second column to select the party/parties for whom judgment may have been rendered in full or in part. If no parties are listed, or the listing is incomplete, please enter the name(s) of the party/parties below.

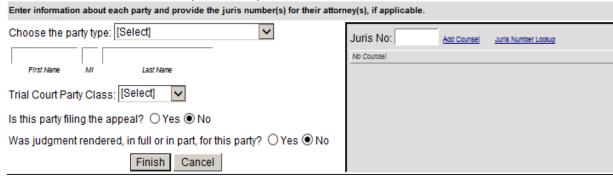
* Filed E	By Judgment For	Party Name	Party Category
✓		UNION TRUST CO.	DEFENDANT
		AETNA LIFE & CASUALTY	PLAINTIFF
		TITLE USA INSURANCE CORPORATION OF NY	3RD PRTY DEF

25. For whom did the trial court render judgment, either in full or in part? Use the check boxes to select the appropriate party or parties.

Enter information about the parties in the trial court proceedings by using the checkboxes in the first column to select the party/parties who are filing this appeal and by using the checkboxes in the second column to select the party/parties for whom judgment may have been rendered in full or in part. If no parties are listed, or the listing is incomplete, please enter the name(s) of the party/parties below.

	parties are listed, or the listing is incomplete, please enter the manie(s) or the party/parties below.				
	* Filed By	Judgment For	Party Name	Party Category	
	<b>✓</b>		UNION TRUST CO.	DEFENDANT	
		<b>✓</b>	AETNA LIFE & CASUALTY	PLAINTIFF	
- [			TITLE USA INSURANCE CORPORATION OF NY	3RD PRTY DEF	

26. Were there additional parties in the prior proceedings? If so, click "add party information" and use the following box to add party information, including the juris number for their counsel. Click "add counsel" and "finish." If you do not know the juris number, click "juris number lookup." If the computer is not able to access information about your case, use this box to add information about all of the parties in your case and their counsel.



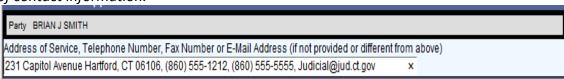
27. Select "Edit" to change any party information that you have added. Select "Delete" to remove any party information that you have added (you cannot remove information that was imported from the trial court). Select "Add Party information" to add additional party information.



28. You must deliver a copy of the appeal form you are creating to all counsel of record. Review the list to make sure that it includes all attorneys and all self-represented parties. If you are filing a criminal or habeas appeal, make sure that it includes the Office of the Chief State's Attorney.



29. If the address provided is incomplete or incorrect, type in the correct address, telephone number, fax number and/or e-mail address. NOTE: this does not constitute an official change of contact information.

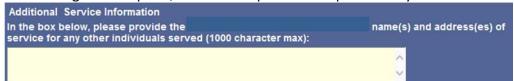


30. Review any information that you have entered. When you are certain that it is correct, select continue.

YOU HAVE ENTERED INFORMATION THAT DIFFERS FROM THE REGISTERED INFORMATION FOR THE PARTY(IES) HIGHLIGHTED ABOVE. If you are certain that you have entered the correct information, please continue.

Back Continue Cancel

31. If the listing is incomplete, use the box provided to provide any additional service information.



32. Review the appeal form you have created. Use the back button at the bottom of the screen to return to previous pages to make corrections. *If you use the back button at the top of the page, you will lose the information you entered.* Correct your information and select continue.

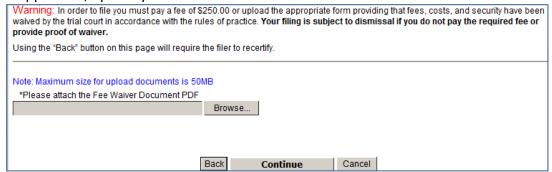


- 33. Use the scroll bar on the right hand side of the page to review information on the bottom of the appeal form and on subsequent pages of the appeal form.
- 34. When you are satisfied that the appeal form is correct, check the certification box to indicate that you will deliver the appeal form to all counsel of record and enter the date that the appeal form will be delivered. THE APPEAL FORM MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.

35. Sign the appeal form by entering your individual juris number. You may not use a firm juris number as a signature. BY ENTERING YOUR SIGNATURE, YOU ARE AGREEING TO ACCEPT SERVICE OF DOCUMENTS ELECTRONICALLY.

* Electronic Signature - Enter your individual juris number:	
	i e

36. If applicable, upload your fee waiver and click "Continue."



37. If a fee is due, select pay by credit card or pay by check.

Enter your credit card information, check the box authorizing payment of the filing and service fees and select "Pay Now." LexisNexis charges a 2.2% fee for credit card transactions.



# 38. Enter your check information and select "Process Payment."

#### Please be advised your filing is not processed until payment is made.

Warning: If you have a debit filter on your account which means that you (the account holder) have told the bank that only certain people/companies are allowed to debit your account, you must notify your bank to allow a debit on your account for e-filing payments. Otherwise, the debit will not be permitted by your bank and the e-check will be returned unpaid to the Judicial Branch. For additional information, please contact E-Services Support at (866) 765-4452.



Total Fee Amount: \$250.00 Click the button below to submit your court filing(s) and pay by check.

By clicking the button below and providing this account number and routing number, I am certifying that I am authorized to permit the Connecticut Judicial branch to charge this account in the amount indicated and I am authorizing it to do so.

Process Payment Refund Policy

# 39. Save or print a copy of the confirmation page for your records.

You have successfully E-Filed!

View/Save/Print Appeal form here:

Print Confirmation of E-Filing here

#### **Confirmation of E-Filing**

AC 37829

For questions regarding this payment: Contact Us.

Appellate Docket Number:

Trial Court Docket Number: A05D-CV-12-1234132-8

Case Name: A v. B
Type of Transaction: APPEAL

Fee Amount: \$250.00 WAIVED STATE OFFICIAL

 Service Fee:
 \$0.00

 Total Transaction Amount:
 \$0.00

 Date Filed:
 7/14/2015

Filed By: 421195 BERNHARDT K WRUBLE

 Date & Time of Transaction:
 07/14/2015 02:11 PM

 Documents Filed:
 40286-40285APPEAL-37829

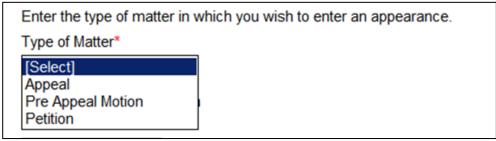
Payment Confirmation Number:

# How do I add or change appearance information?

1. On the Appellate E-Filing Home Page, select "E-File an Appearance in an Existing Appellate Matter" or search for your case using "List My Cases," "My E-Filed Items" or "Case Search." <u>A case will not be included in "List My Cases" until after the Office of the Appellate Clerk has verified that you have an appearance in the case.</u>



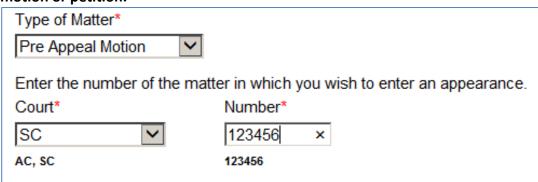
2. If you select "E-File an Appearance in an Existing Appellate Matter," enter the type of appellate matter in which you wish to enter an appearance.



3. Enter the number of the matter in which you are entering an appearance.

Note: If you select "appeal," please enter the 5-digit docket number. If you select

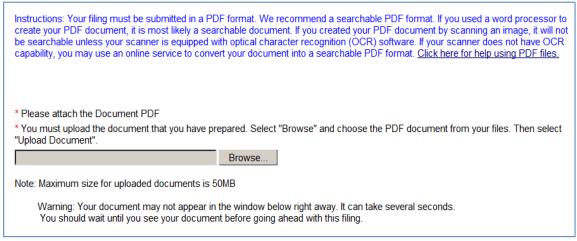
"Preappeal Motion or Petition" please enter the 6-digit number assigned to the preappeal motion or petition.



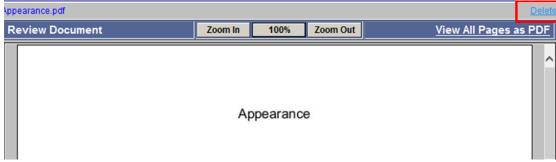
4. If you search for your case, click on your docket number to bring up the case summary page. Choose "E-File an Appearance" from the drop down menu at the top of the page.



5. Upload your appearance.



6. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



7. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION. Enter your individual juris number as your signature and click "continue."

8. Save or print a copy of the confirmation page for your records.



# How do I e-file a motion before an appeal?

Sometimes it is necessary to file a motion before an appeal is filed. These motions are called "preappeal" motions. For example, if you missed the deadline for filing an appeal, you must file a preappeal motion asking for permission to file a late appeal. If the court grants permission, you may file an appeal.

# How do I e-file a preappeal motion in the Appellate Court?

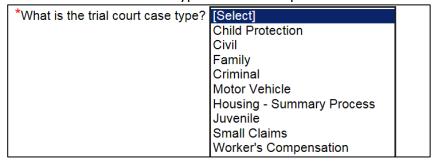
1. On the Appellate E-Filing Home Page, select "E-File a Motion Before E-Filing an Appeal"



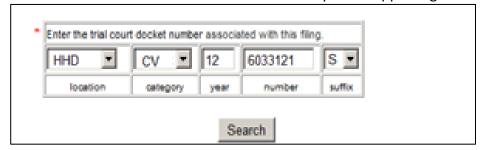
2. Select "Appellate Court"



3. Select the trial court case type from the drop down menu.



4. Enter the trial court docket number from which you are appealing and click "search."



5. The computer will search for your case and display the case name if it finds a match. If the case name is correct, select "click here to continue." If the case name is not correct, return to the docket number field to search again or select "Enter Case Name."

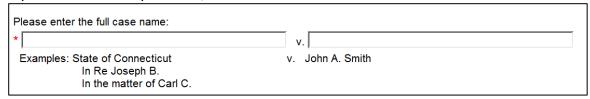
AAN-CV-11-6007428-S WINDHAM, TROY v. DOCTOR'S ASSOCIATES, INC.

Is this the case you are appealing? If YES, click here to continue.

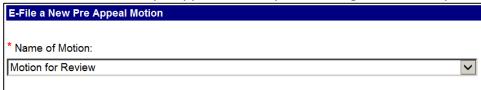
If NO, search again or ENTER CASE NAME.

Note: If you enter the case name, the system will not be able to locate data about the case and you must input the information manually.

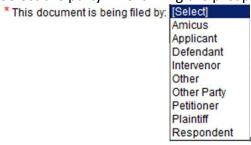
6. If you cannot locate your case, enter the full case name.



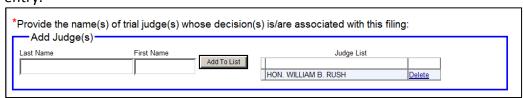
7. Select the name of the preappeal motion you are filing from the drop down menu.



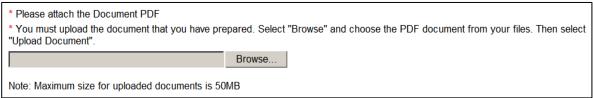
8. Select the party who is filing the preappeal motion.



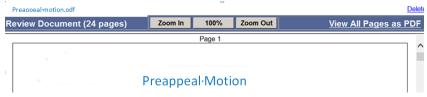
9. Enter the name of the trial judge whose decision is associated with the preappeal motion and click "add to list." You may enter more than one name. Click "add to list" after each entry.



- 10. Some preappeal motions require a fee, which is due at the end of the e-filing transaction. Select and certify any of the following *if applicable*:
  - a. You are filing on behalf of a state agency and no fees are due in connection with your filing.
  - b. You have a valid fee waiver. NOTE: YOU MUST UPLOAD THE FEE WAIVER FORM AT THE END OF THE E-FILING TRANSACTION. IF YOU DO NOT UPLOAD A VALID FEE WAIVER YOUR FILING MAY BE REJECTED.
  - c. No fees are due in connection with this filing.
- 11. Upload your preappeal motion. (If you have a fee waiver, you will be asked to upload it later in the process.)



12. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



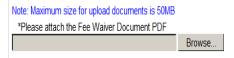
13. Upload supporting documents if necessary. Additional preappeal motions must be filed during SEPARATE e-filing transactions.

Please check the rules of appellate procedure to determine whether a supporting document is required for your filing. For example, under Practice Book § 66-6, "[i]f a motion for review of a decision depends on a transcript of evidence or proceedings taken by a court reporter, the moving party shall file with the motion either a transcript or a copy of the transcript order form (JD-ES-38)."

14. Review all uploaded documents. When you are satisfied that you have uploaded the correct documents and are ready to proceed, click "certify."



- 15. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.
- 16. If applicable, upload your fee waiver.



17. If a fee is due, select pay by credit card or pay by check. Enter your credit card information and select "Pay Now," or enter your check information and select "Process Payment." Note: LexisNexis charges a 2.2% fee for credit card transactions.



18. Save or print a copy of the confirmation page for your records.

# How do I e-file a preappeal motion in the Supreme Court?

1. On the Appellate E-Filing Home Page, select "E-File a Motion Before E-Filing an Appeal"



2. Select "Supreme Court"

*Inbish a surd and filia 0	O Commence Count O Annuallata Count
in which court are you filing?	Supreme Court ○ Appellate Court

3. Select "Yes" if you have an Appellate Court docket number. Select "No" if you do not have an Appellate Court docket number or cannot enter it correctly. You will then be asked to enter your trial court docket number.

*Do you have an Appellate Court docket number?	
○Yes	
○ No	

4. Enter the 5-digit Appellate Court docket number. For cross or amended appeals, please enter the first 5 digits only. For example, if your docket number is AC 12345X01, enter 12345.

Enter your 5-digit Appellate Court docket number:	number: AC:		
		45682	
	Search		

5. The computer will search for your case and display the case name if it finds a match. If the case name is correct, select "click here to continue." If the case name is not correct, return to the docket number field to search again.

AC 12345 AETNA LIFE & CASUALTY v UNION TRUST COMPANY Is this the case associated with this filing? If YES, click here to continue. If NO, search again.

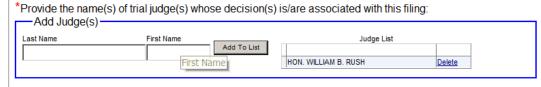
6. Select the name of the preappeal motion you are filing.



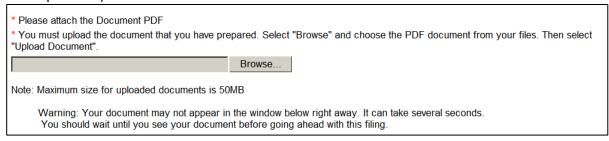
7. Select the party who is filing the motion.



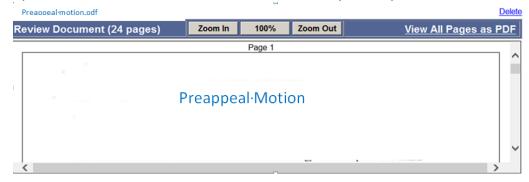
8. Enter the name of the trial judge whose decision is associated with the motion and click "add to list." You may enter more than one name. Click "add to list" after each entry.



- 9. Some preappeal motions require a fee, which is due at the end of the e-filing transaction. Select and certify any of the following *if applicable*:
  - a. You are filing on behalf of a state agency and no fees are due in connection with your filing.
  - b. You have a valid fee waiver. NOTE: YOU MUST UPLOAD THE FEE WAIVER FORM AT THE END OF THE E-FILING TRANSACTION. IF YOU DO NOT UPLOAD A VALID FEE WAIVER YOUR FILING MAY BE REJECTED.
  - c. No fees are due in connection with this filing.
- 10. Upload your preappeal motion. (If you have a fee waiver, you will be asked to upload it later in the process.)



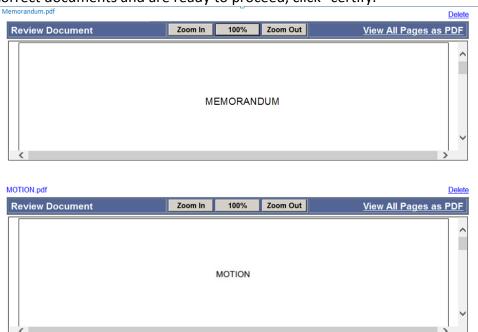
11. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



12. Upload supporting documents if necessary. Additional preappeal motions must be filed during SEPARATE e-filing transactions.

Please check the rules of appellate procedure to determine whether a supporting document is required for your filing. For example, under Practice Book § 66-6, "[i]f a motion for review of a decision depends on a transcript of evidence or proceedings taken by a court reporter, the moving party shall file with the motion either a transcript or a copy of the transcript order form (JD-ES-38."

13. Review all uploaded documents. When you are satisfied that you have uploaded the correct documents and are ready to proceed, click "certify."



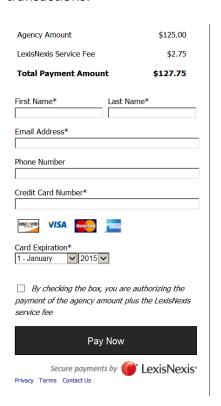
14. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.

15. If applicable, upload your fee waiver.



16. If a fee is due, select pay by credit card or pay by check.

Enter your credit card information and select "Pay Now" or enter your check information and choose "Process Payment." Note: LexisNexis charges a 2.2% fee for credit card transactions.



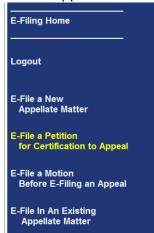
17. Save or print a copy of the confirmation page for your records.





# How do I e-file a petition for certification to appeal a decision in a zoning or inland wetlands case to the Appellate Court?

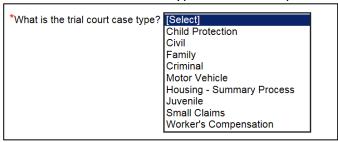
1. On the Appellate E-Filing Home Page, select "E-File a Petition for Certification to Appeal"



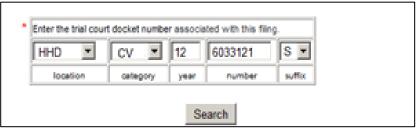
2. Select "Appellate Court"

\*In which court are you filing? ○ Supreme Court ● Appellate Court

3. Select the trial court case type from the drop down menu.



4. Enter the trial court docket number from which you are appealing and click Search



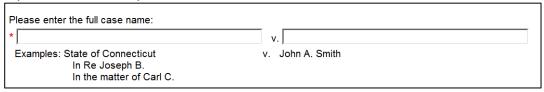
5. The computer will search for your case and display the case name if it finds a match. If the case name is correct, select "click here to continue." If the case name is not correct, return to the docket number field to search again or select "Enter Case Name."

AAN-CV-11-6007428-S WINDHAM, TROY v. DOCTOR'S ASSOCIATES, INC. Is this the case you are appealing? If YES, click here to continue.

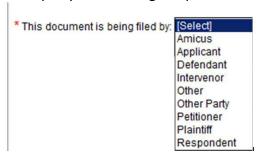
If NO, search again or ENTER CASE NAME.

Note: If you enter the case name, the system will not be able to locate data about the case and you must input the information manually.

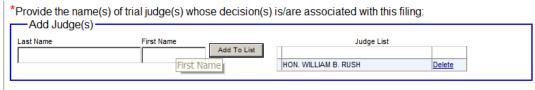
6. If you cannot locate your case, enter the full case name.



7. Select the party who is filing the petition.

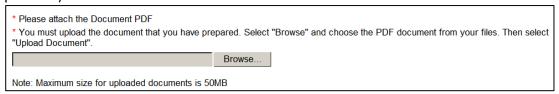


8. Enter the name of the trial judge whose decision is associated with the petition and click "add to list." You may enter more than one name. Click "add to list" after each entry.

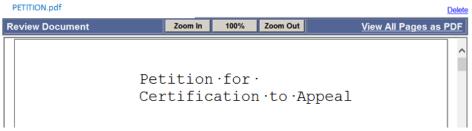


- 9. Petitions require a fee of \$ 75, which is due at the end of the e-filing transaction. Select and certify any of the following *if applicable*:
  - You are filing on behalf of a state agency and no fees are due in connection with your filing.
  - b. You have a valid fee waiver. NOTE: YOU MUST UPLOAD THE FEE WAIVER FORM AT THE END OF THE E-FILING TRANSACTION. IF YOU DO NOT UPLOAD A VALID FEE WAIVER YOUR FILING MAY BE REJECTED.
  - c. No fees are due in connection with this filing.

10. Upload your petition. (If you have a fee waiver, you will be asked to upload it later in the process.)



11. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



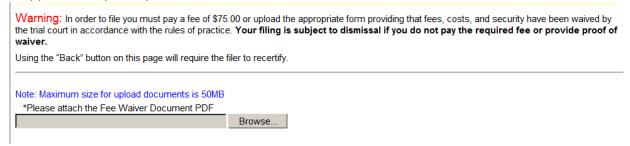
- 12. Upload supporting documents if necessary.

  Please check the rules of appellate procedure to determine whether a supporting document is required for your filing.
- 13. Review all uploaded documents. When you are satisfied that you have uploaded the correct documents and are ready to proceed, click "certify."



14. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.

15. If applicable, upload your fee waiver.



- 16. If a fee is due, select pay by credit card or pay by check. Enter your credit card information and select "Pay Now" or enter your check information and select "Process Payment." Note: LexisNexis charges a 2.2% fee for credit card transactions.
- 17. Save or print a copy of the confirmation page for your records.



# How do I e-file a petition for certification to appeal to the Supreme Court?

1. On the Appellate E-Filing Home Page, select "E-File a Petition for Certification to Appeal"



2. Select "Supreme Court"

*In which court are you filing?	Supreme	Court O Appellate	Court
in which court are you ning?	Supreme	Court O Appellate	Court

3. Select "Yes" if you have an Appellate Court docket number. If do not have your Appellate Court docket number or cannot enter it correctly, select "No" and enter your trial court docket number.

*Do you have an Appellate Court docket number?	
○Yes	
○ No	

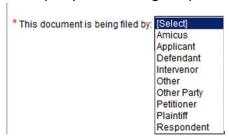
4. Enter the 5-digit Appellate Court docket number. For cross or amended appeals, please enter the first five digits only. For example, if your docket number is AC 12345X01, enter 12345.

Enter your 5-digit Appellate Court docket number:	AC:		
		45682	

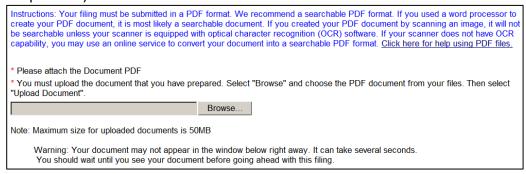
5. The computer will search for your case and display the case name if it finds a match. If the case name is correct, select "click here to continue." If the case name is not correct, return to the docket number field to search again.

AC 12345 AETNA LIFE & CASUALTY v UNION TRUST COMPANY Is this the case associated with this filing? If YES, click here to continue. If NO, search again.

6. Select the party who is filing the petition.



- 7. Petitions require a fee of \$ 75, which is due at the end of the e-filing transaction. Select and certify any of the following *if applicable*:
  - You are filing on behalf of a state agency and no fees are due in connection with your filing.
  - b. You have a valid fee waiver. NOTE: YOU MUST UPLOAD THE FEE WAIVER FORM AT THE END OF THE E-FILING TRANSACTION. IF YOU DO NOT UPLOAD A VALID FEE WAIVER YOUR FILING MAY BE REJECTED.
  - No fees are due in connection with this filing.
  - 8. Upload your petition. (If you have a fee waiver, you will be asked to upload it later in the process.)



9. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.

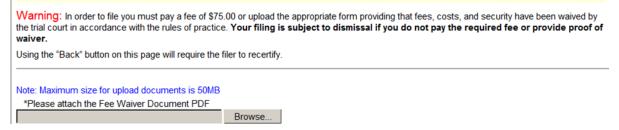


Upload supporting documents if necessary.
 Please check the rules of appellate procedure to determine whether a supporting document is required for your filing.

11. Review all uploaded documents. When you are satisfied that you have uploaded the correct documents and are ready to proceed, click "certify."



- 12. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.
- 13. If applicable, upload your fee waiver.



- 14. If a fee is due, select pay by credit card or pay by check. Enter your credit card information and select "Pay Now" or enter your check information and select "Process Payment." Note: LexisNexis charges a 2.2% fee for credit card transactions.
- 15. Save or print a copy of the confirmation page for your records.

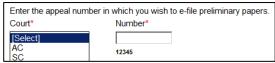


# How do I e-file preliminary papers and other appeal documents? Note: Each document must be filed separately.

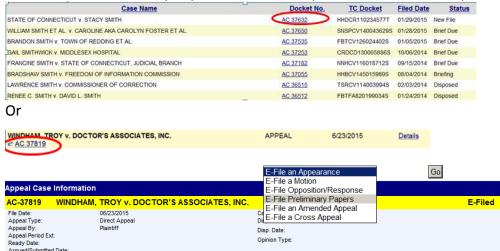
1. On the Appellate E-Filing Home Page, select "E-File Preliminary Papers in an Existing Appellate Matter" or search for your case using "List My Cases," "My E-Filed Items" or "Case Search." <u>A case will not be included in "List My Cases" until after the Office of the Appellate Clerk has verified that you have an appearance in the case.</u>



2. If you select "E-File Preliminary Papers in an Existing Appellate Matter," enter your Supreme Court or Appellate Court docket number.



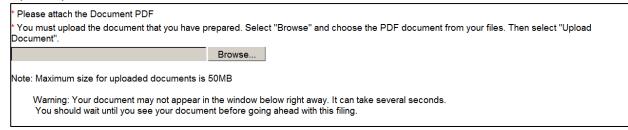
3. If you search for your case, click on your docket number to bring up the case summary page. Choose "E-File Preliminary Papers" from the drop down menu at the top of the page.



4. Select the preliminary paper or appeal document that you wish to file.



5. Upload your document.



6. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document



- 7. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION. Sign by entering your individual juris number.
- 8. Save or print a copy of the confirmation page for your records.

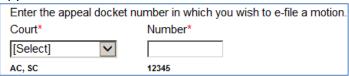


# How do I e-file a motion in an appeal (other than to extend a filing deadline)?

1. On the Appellate E-Filing Home Page, select "E-File a Motion in an Existing Appellate Matter" or search for your case using "List My Cases," "My E-Filed Items" or "Case Search." <u>A case will not be included in "List My Cases" until after the Office of the Appellate Clerk has verified that you have an appearance in the case.</u>



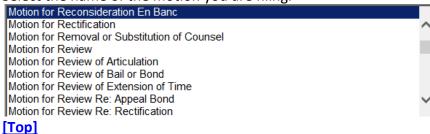
2. If you select "E-File a Motion in an Existing Appellate Matter," enter your Supreme Court or Appellate Court docket number.



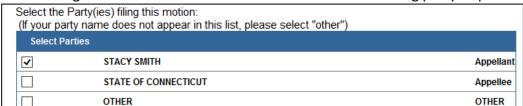
3. If you search for your case, click on your docket number to bring up the case summary page. Choose "E-File a Motion" from the drop down menu at the top of the page.



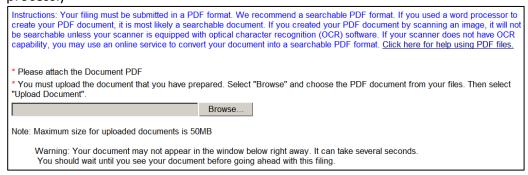
4. Select the name of the motion you are filing.



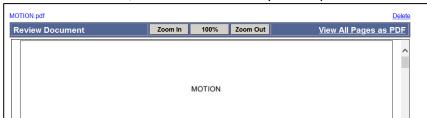
5. Who is filing the motion? Use the check boxes to select the filing party or parties.



- 6. Some motions require a fee, which is due at the end of the e-filing transaction. Select and certify any of the following *if applicable*:
  - a. You are filing on behalf of a state agency and no fees are due in connection with your filing.
  - b. You have a valid fee waiver. NOTE: YOU MUST UPLOAD THE FEE WAIVER FORM AT THE END OF THE E-FILING TRANSACTION. IF YOU DO NOT UPLOAD A VALID FEE WAIVER YOUR FILING MAY BE REJECTED.
  - c. No fees are due in connection with this filing.
- 7. Upload your motion. (If you have a fee waiver, you will be asked to upload it later in the process.)



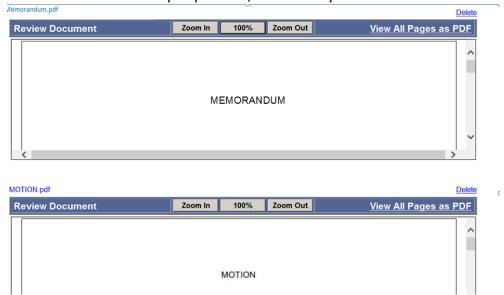
8. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



9. Upload supporting documents if necessary.

Please check the rules of appellate procedure to determine whether a supporting document is required for your filing. For example, under Practice Book § 66-6, "[i]f a motion for review of a decision depends on a transcript of evidence or proceedings taken by a court reporter, the moving party shall file with the motion either a transcript or a copy of the transcript order form (JD-ES-38)."

10. Review all uploaded documents. When you are satisfied that you have uploaded the correct documents and are ready to proceed, click "certify."



- 11. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.
- 12. If applicable, upload your fee waiver.
- 13. If a fee is due, select pay by credit card or pay by check. Enter your credit card information and select "Pay Now" or enter your check information and select "Process Payment." Note: LexisNexis charges a 2.2% fee for credit card transactions.
- 14. Save or print a copy of the confirmation page for your records.



# How do I e-file a withdrawal or an opposition or response to any Motion, Preappeal Motion or Petition?

1. On the Appellate E-Filing Home Page, search for your case using "List My Cases," "My E-Filed Items" or "Case Search." <u>A case will not be included in "List My Cases" until after the Office of the Appellate Clerk has verified that you have an appearance in the case.</u>



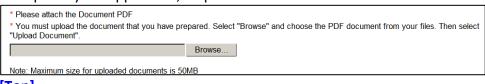
2. Find the appeal, preappeal motion or petition and click on your docket number to bring up the case summary page. Choose "E-File Opposition/Response/Withdrawal" from the drop down menu at the top of the page.



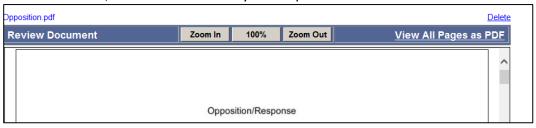
3. Use the check boxes to select the motion you are withdrawing or to which you are filing an opposition or response



4. Upload your opposition, response or withdrawal.



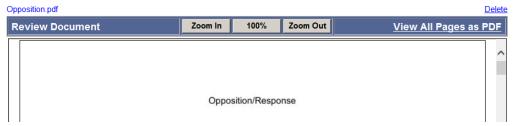
**5.** Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



 Upload supporting documents if necessary. Any additional oppositions, responses or withdrawals MUST be uploaded separately.

Please check the rules of appellate procedure to determine whether a supporting document is required for your filing.

7. Review all uploaded documents. When you are satisfied that you have uploaded the correct documents and are ready to proceed, click "certify."



- 8. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.
- 9. Save or print a copy of the confirmation page for your records.

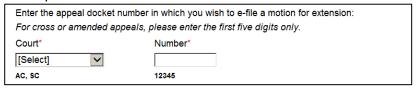


# How do I e-file a motion to extend a filing deadline?

1. On the Appellate E-Filing Home Page, select "E-File a Motion to Extend Time In An Existing Appellate Matter" or search for your case using "List My Cases," "My E-Filed Items" or "Case Search." <u>A case will not be included in "List My Cases" until after the Office of the Appellate Clerk has verified that you have an appearance in the case.</u>



2. If you select "E-File a Motion to Extend Time in an Existing Appellate Matter," select the court in which you are filing the motion and enter the five digit appeal docket number. For a cross appeal or amended appeal, enter the first five digits of the docket number only. For example: if the docket number is "AC 12345X01" enter "AC 12345."



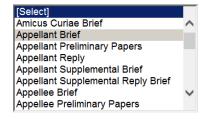
3. The computer will search for your case and display the case name if it finds a match. If the case name is correct, select "click here to continue." If the case name is not correct, you may search again.

AC 12345 AETNA LIFE & CASUALTY v UNION TRUST COMPANY Is this the matter in which you wish to e-file a motion for extension?

If YES, click here to continue, otherwise search again.

4. Select the type of document for which you are seeking to extend the filing deadline.

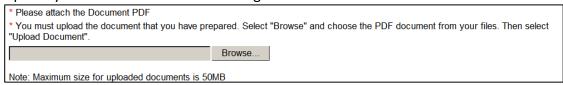
I am filing a motion to extend the time to file:



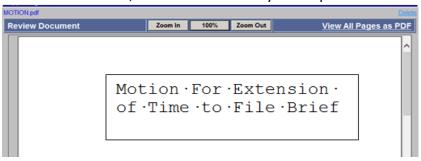
5. If you are seeking to extend the deadline for filing a brief, select the appropriate brief.



6. Upload your motion to extend the filing deadline.

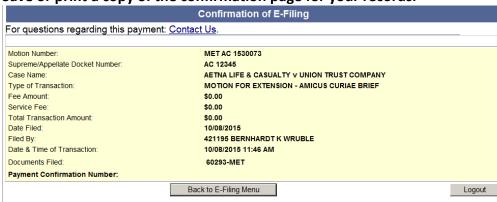


7. Review your document. If you would like to remove the uploaded document and upload a different document, click delete. You may then upload a new document.



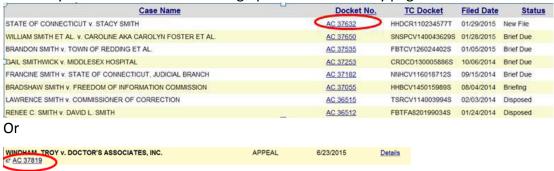
8. Check the box to certify that any documents you are filing have been redacted or do not contain any protected or personal identifying information. Enter the date that the document will be delivered to all counsel and self-represented parties of record. THE DOCUMENT MUST BE DELIVERED ON THE SAME DATE AS THE E-FILING TRANSACTION.

9. Save or print a copy of the confirmation page for your records.

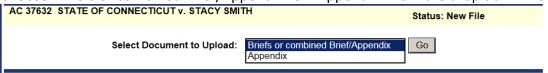


How do I submit a brief and appendix electronically? NOTE: You must also file paper copies of your briefs and appendices in the Office of the Appellate Clerk.

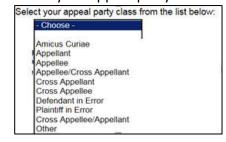
- 1. After you log in to E-Services, select "Upload Supreme/Appellate Briefs & Appendices."
- 2. Search for your case by party name, docket number, case name or juris number.
- 3. Click on your docket number to bring up the case summary page.



4. Choose "Briefs or combined Brief/Appendix" or "Appendix" from the drop down menu.



5. Select your appeal party class from the drop down menu.



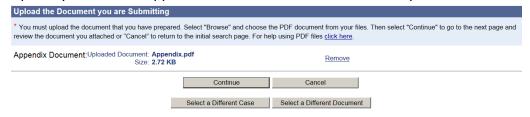
6. Select the type of document you are submitting. Brief Selections

# Document Information Select what you are submitting from the list below: Brief Combined Brief/Appendix Letter adopting Brief Corrected/Substitute Brief Supplemental Brief Reply Brief Brief Other Other

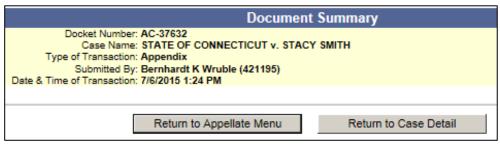
# **Appendix Selections**

# 

7. Upload your brief or appendix and click "continue" to review your document.



- 8. Review your document. When you are satisfied with the document that you have uploaded, check the certification box and click "Submit this Document."
- 9. Print the confirmation of electronic submissions for your records and include a copy of the confirmation when you file your paper briefs and appendices.



# Do I need to file an appearance before I file an appeal or writ of error?

No. If you had an appearance in the trial court, it will carry over to your appeal. If you did not have an appearance in the trial court, you must answer the following appearance questions when you are filing your appeal:

Please choose any of the following options that apply:				
☐ Counsel or self-represented party who files this appeal will be deemed to have appeared in addition to counsel of record who appeared in the trial court.				
☐ Counsel or self-represented party who files this appeal is appearing in place of:				
Name of counsel or self-represented party:				
Juris Number (if applicable):				

If you check the second box, you must provide the name of the attorney or self-represented party you are appearing in place of.

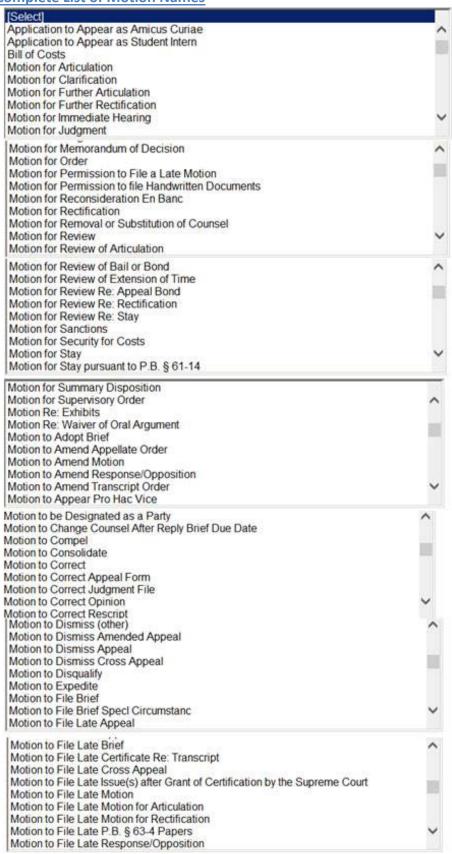
# [Top]

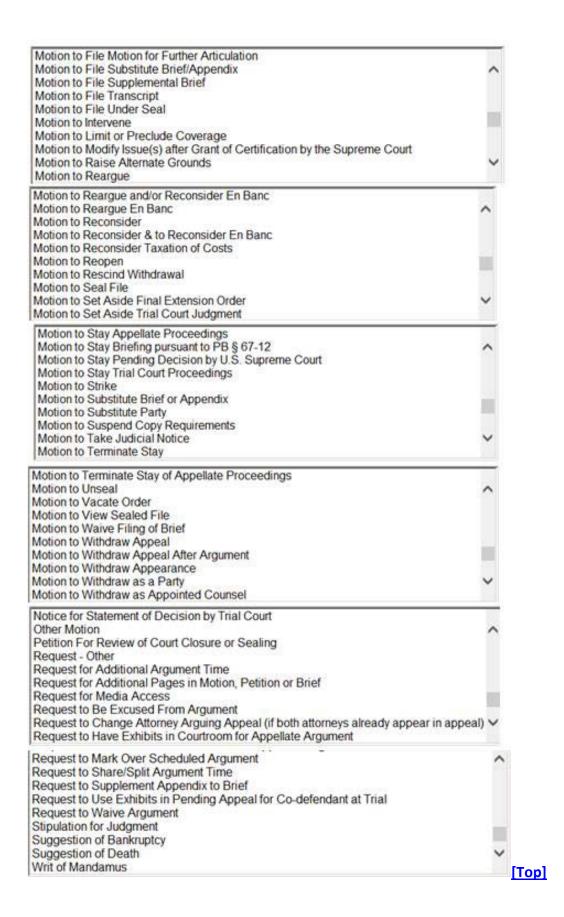
#### **Complete List of Preliminary Papers and Appeal Documents**

```
Select the type of preliminary paper you wish to e-file on this appeal.
[Select]
Preliminary Statement of Issues
Certificate Regarding Transcripts
Docketing Statement
Pre Argument Conference Statement
Draft Judgment File
Constitutionality Notice
Sealing Notice
Amended Preliminary Statement of Issues
Amended Certificate Regarding Transcripts
Amended Docketing Statement
Amended Pre Argument Conference Statement
Amended Draft Judgment File
Amended Constitutionality Notice
Amended Sealing Notice
Citation of Supplemental Authorities After Brief is Filed
Statement Adopting Brief of Appellant or Appellee, or Detailed Statement that Factual or Legal Issues on Appeal do not Implicate Child's Interests
Joint Appeal Consent form
Appellate Court Assignment Form (Response to Docket)
Supreme Court Assignment Form (Response to Docket)
Response to Citation of Supplemental Authorities
Appeal Transcript Order Acknowledgement from Court Reporter
Appeal Transcript Order Form
Appeal Transcript Order Form with Certificate of Completion
Appeal Transcript Order Form with Revised Estimated Date of Delivery
Appeal Transcript Filing Form to Accompany Transcripts and/or Land Use Regulations
Appellate Court Order Granting Certification to Appeal
Appellate Court Order Granting Reservation Request by Superior Court 
Citation of Supplemental Authorities after filing of Brief – Response
Correspondence from Court – Response
Court's Notice Regarding Waiver of Oral Argument - Response
Errata Document Correcting Error(s) in Brief
Notice Adopting Brief or Detailed Statement Required by Counsel for the Minor Child and/or Counsel for Guardian ad Litem in Matters Involving Minor Children
Notice Adopting Brief of Another Party in Lieu of Filing a Separate Brief (for matters not involving minor children)
Notice of Intent Not to File Brief (must be filed prior to deadline to file Appellee's brief)
Reservation - supporting document(s)
Supreme Court Appeal from Counsel on Probate Judicial conduct - supporting document(s)
Supreme Court Appeal from Judicial Review Council - supporting document(s)
Supreme Court Certified Question(s) of Law - supporting documents
Supreme Court Order Granting Certification Pursuant to G.S. 52-265a in Cases of Substantial Public Interest
Supreme Court Order Granting Certified Question(s) of Law
Supreme Court Order Granting Certification to Appeal from Appellate Court
Supreme Court Order Granting Reservation Request by Superior Court
Vithdrawal
Writ of Error
Writ of Error - supporting documents
```

#### Top

# **Complete List of Motion Names**





# **Complete List of Preappeal Motion Names**

```
Application for Certification pursuant to G.S. § 52-265a
Application to Appear as Amicus Curiae
Motion for Ext. of Time to File Response/Opposition to Appellate Court Petition for Certification
Motion for Extension of Time to File Appellate Court Petition for Certification
Motion for Further Articulation
Motion for Further Rectification
Motion for Immediate Hearing
Motion for Order
Motion for Permission to File a Late Motion
Motion for Permission to file Handwritten Documents
Motion for Reconsideration En Banc
Motion for Review
Motion for Review of Articulation
Motion for Review of Bail or Bond
Motion for Review Re: Appeal Bond
Motion for Review Re: Rectification
Motion for Review Re: Stay
Motion for Sanctions
Motion for Stav
Motion for Stay pursuant to P.B. § 61-14
Motion for Supervisory Order
Motion to Amend Appellate Order
Motion to Amend Motion
Motion to Amend Response/Opposition
Motion to Amend Transcript Order
Motion to Appeal pursuant to P.B. § 61-4
Motion to Appear Pro Hac Vice
Motion to be Designated as a Party
Motion to Compel
Motion to Consolidate
Motion to Correct
Motion to Disqualify
Motion to File Late Appeal
Motion to File Late Appellate Court Petition for Certification
Motion to File Late Appendix
Motion to File Late Motion
Motion to File Late Response/Opposition
Motion to File Late Supreme Court Petition for Certification
Motion to File Transcript
Motion to File Under Seal
Motion to Intervene
Motion to Limit or Preclude Coverage
Motion to Reargue
Motion to Reargue & to Reargue En Banc
Motion to Reargue and/or Reconsider
Motion to Reargue and/or Reconsider En Banc
Motion to Reargue En Banc
Motion to Reconsider
Motion to Reconsider & to Reconsider En Banc
Motion to Rescind Withdrawal
Motion to Seal File
Motion to Set Aside Final Extension Order
Motion to Stay Trial Court Proceedings
Motion to Strike
Motion to Substitute Party
Motion to Suspend Copy Requirements
Motion to Transfer
Motion to Vacate Order
Motion to View Sealed File
Motion to Withdraw Appearance
Motion to Withdraw as a Party
Other Motion
Petition For Review of Court Closure or Sealing
Petition For Review of Grand Jury Proceedings
Request - Other
Request for Additional Pages in Motion, Petition or Brief
Suggestion of Bankruptcy
Suggestion of Death
Writ of Mandamus
```

# Do I need permission from the court to file an appeal?

You must have permission to file the following types of appeals:

Appeal to Appellate Court Following Grant of Certification.

This type of appeal may not be filed unless the Appellate Court has granted a petition for certification to appeal. In addition, only zoning or inland wetlands matters may be appealed in this manner.

Appeal to Supreme Court Following Grant of Certification

This type of appeal may not be filed unless the Supreme Court has granted a petition for certification to appeal. Only decisions of the Appellate Court may be appealed in this manner.

Certified Question of Law after Acceptance by Supreme Court

This type of appeal may not be filed unless the Supreme Court has granted another court's request to consider a certified question of law.

Reservation of Question of Law after Acceptance by Appellate Court or Supreme Court

This type of appeal may not be filed unless the Supreme Court or Appellate Court has granted a trial court's request to consider a question of law.

#### [Top]

# Can I file any appeal in the Supreme Court?

You must appeal first to the Appellate Court unless a direct appeal to the Supreme Court is authorized by statute or the Supreme Court has granted permission.

# I'm not appealing from a trial court matter. What case type do I select?

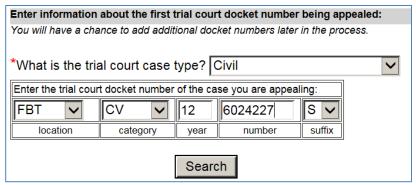
Select the "civil" case type if you are filing an original jurisdiction action, an appeal from the decision of the Judicial Review Council or an appeal from the Council on Probate Judicial Conduct. If you are filing a certified question of law from another jurisdiction, select the type of case decided by the federal or state court.

#### How do I find and enter my trial court docket number?

Using the Case Look-up link on the Judicial Branch website (<u>www.jud.ct.gov</u>), search for your case by party name. The search results will list your docket number.

		Party Name Search Results					
					New	v Search	
		Records: 1-200 of 3415					
	Results for Party Last Name SMITH						
	1 <u>2345</u> >>						
Party Name	<u>Case Name</u>	Docket	t No. Co	ourt Location	Pty No.	Self- Rep.	
SMITH ADELE R	U.S. EQUITIES CORP. v. SMITH, ADELE	€ FBT-CI	V-12-6024227-S Bri	idgeport	D-50		
SMITH ADOLPH	COOTE STEPHEN v. MIGIORE JEFF	FBT-CV-C	01-03/9399-S Bri	idgeport I	D-53		
SMITH ALEX	JPMORGAN CHASE BANK v. SMITH,ALEX	FBT-CV-(	09-5022444-S Bri	idgeport	D-50		
SMITH ALEX	SMITH TREVOR v. MAROLO DENNIS	FBT-CV-(	00-0374488-S Brid	idgeport I	P-02		

When you file your appeal, enter the <u>complete</u> docket number, including the location, category, year, number and suffix.



[Top]

#### How do I find and enter my small claims docket number?

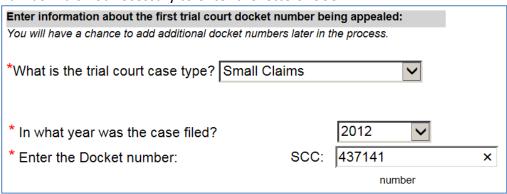
Using the Case Look-up link on the Judicial Branch website (<u>www.jud.ct.gov</u>), choose small claims and search for your case by party name. The search results will include your docket number.

SEARCH RESULT(S) FOR PARTY NAME SMITH AS OF 6/11/2015 6:04:06 AM.

Select a column heading for sorting (i.e. Party Name)

Party Name	Case Name	Docket No	Court	Party No	PItf Def
SMITH AARON	TRUMBULL OIL CO, INC. vs. SMITH	SCC-437141	Derby	50	D
SMITH ABORN D	CAPITAL ONE BANK vs. SMITH	SCC-247221	New London	50	D

When you file your appeal, enter the year in which the case was filed, and then enter the six digit number. It is not necessary to enter the letters "SCC."



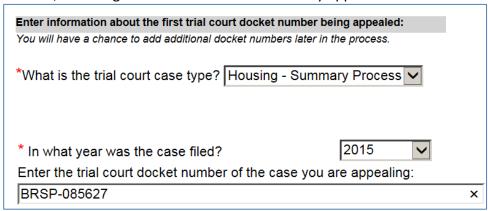
[Top]

# How do I find and enter my housing docket number?

Using the Case Look-up link on the Judicial Branch website (<a href="www.jud.ct.gov">www.jud.ct.gov</a>), choose housing and search for your case by party name or the address of the premises. The search results will list your docket number.



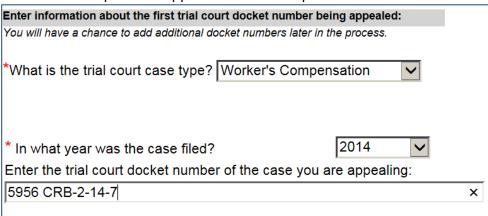
When you file your appeal, enter the year in which the case was filed and the complete docket number, including all letters and numbers as they appear.



I don't have a trial court docket number. How do I fill in this field in a worker's compensation matter, an original jurisdiction matter, an appeal from the decision of the Judicial Review Council or the Council on Probate Judicial Conduct, or a certified question of law from another jurisdiction?

Enter the case number that was assigned by the workers' compensation commissioner, the compensation review board, the judicial review council, the federal court, the council on probate judicial conduct or the entity that decided the case from which you are appealing. You may enter 25 characters.

Here is an example of an appeal from the Compensation Review Board:



# Here is an example of an original jurisdiction action:

Enter information about the first tri	al court docket nu	mber being appealed:		
You will have a chance to add addition	al docket numbers l	later in the process.		
*What is the trial court case typ	e? Civil	~		
* In what year was the case file	ed?	2015	Enter·the·current·year.	2
Enter the trial court docket nun	nber of the case	you are appealing:		i i
original jurisdiction action	Enter-"original-jurisdiction-action"-instead-of-a-number.			

The appeal form and the confirmation page will display the date and number that you entered and a number that is generated by the computer for internal use only:

List all trial court docket	numbers, including location prefixes
2014-5956 CRB-2-	14-7/OTHER-WC-14-0000129-S
	(for internal use only)

# My case was decided by the Compensation Review Board or by a federal judge—will the computer be able to find information about my case?

The computer only has access to information about cases that were decided by the Superior Court or the Appellate Court and cannot access information about cases that were decided by other courts or entities. You will manually enter all information about your case.

# Why should I try to enter my docket number correctly when it is easier to enter the case name?

In many types of appeals, if you enter a trial court docket number correctly, the computer will fill in some of the information about your case automatically. If you do not enter your docket number correctly, you must fill in all of the information manually. This includes typing the names of all parties in the case you are appealing and the names and contact information for all counsel of record.

# [Top]

# What happens if I enter my docket number correctly but the computer can't find my case?

The computer may not have access to certain types of cases, even if you enter your docket number correctly. In these cases, you must enter your responses to all of the questions that are used to create an appeal form. This includes typing the names of all parties in the case you are appealing and the names and contact information for all counsel of record.

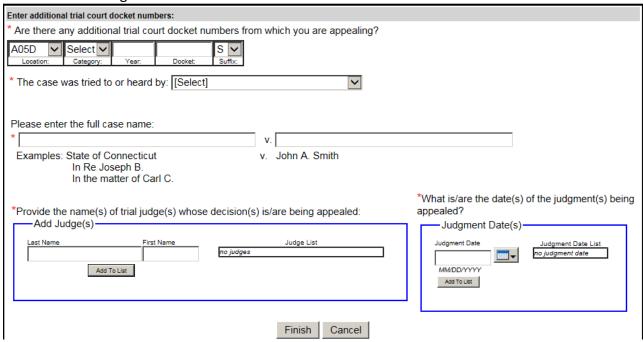
# What if I am appealing from more than one docket number?

# Click "Yes" in response to the question:

Are there any additional trial court docket numbers from which you are appealing? 

Yes 
No

#### Enter the following information:

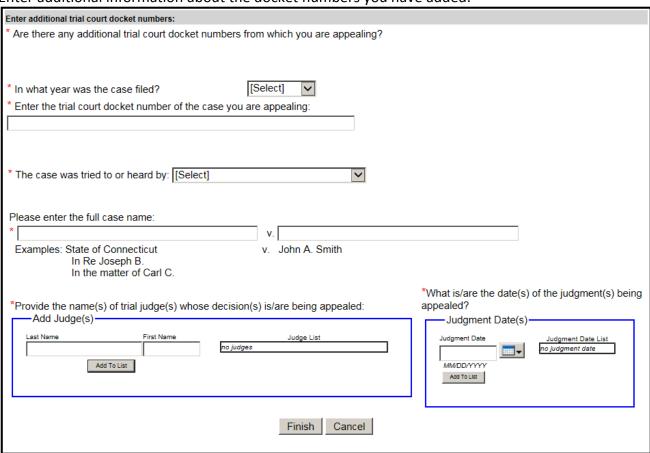


What if I am appealing from more than one nonstandard docket number? For example, more than one workers' compensation matter.

Click "Yes" in response to the question "Are there any additional trial court docket numbers from which you are appealing?"

Enter the case numbers that were assigned by the workers' compensation commissioner, the compensation review board, the judicial review council, the federal court, the council on probate judicial conduct or the entity that rendered the judgment or decision from which you are appealing.

Enter additional information about the docket numbers you have added.



# The Supreme Court granted my petition for certification to appeal from the judgment of the Appellate Court. What steps do I take now?

Now that the Supreme Court has granted your petition for certification to appeal from the judgment of the Appellate Court, you must file your appeal by taking the following steps.

NOTE: A SUPREME COURT DOCKET NUMBER IS NOT ASSIGNED UNTIL YOU FILE YOUR APPEAL AND PAY ANY REQUIRED FILING FEES.

On the appellate home page, select "E-File a New Appellate Matter."

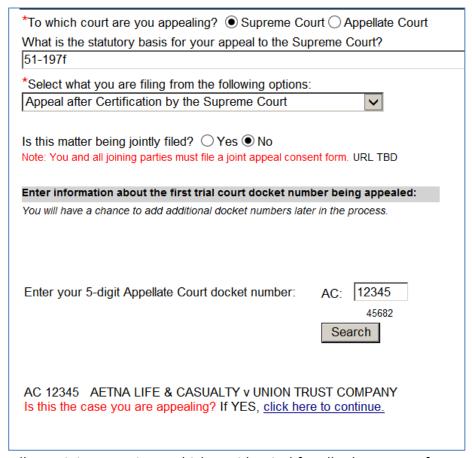
Select "Supreme Court"

Select "Appeal after Certification by Supreme Court"

Provide the statutory basis for your appeal

Click "yes" if you are filing a joint appeal.

Enter your **Appellate Court** Docket number. If the computer is able to find your case, it will automatically fill in information about your case.



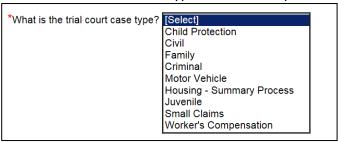
Answer all remaining questions, which are identical for all other types of appeals.

# What if I can't find my Appellate Court docket number or can't enter it correctly?

1. Select "No" if you cannot find your Appellate Court docket number or cannot enter it correctly:

*Do you have an Appellate Court docket number?
○Yes
○ No

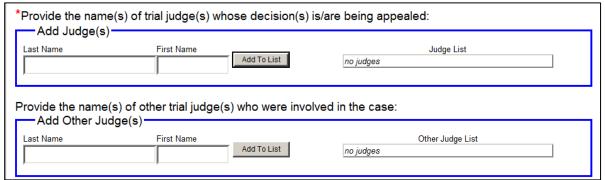
2. Select the trial court case type from the drop down menu.



**3.** Enter the trial court docket number from which you are appealing and click "search." <a href="[Top]">[Top]</a>

# My case was not decided by a trial court judge. What name should I enter in the field for the name of the trial judge?

Enter the name of the Workers' Compensation Commissioner, the Small Claims Commissioner, the Federal District Court judge, the member of the Compensation Review Board or the names of any individuals who rendered the judgment or decision from which you are appealing. If you do not have the name of a specific individual, enter the name of the decision-making body. If you are filing an original jurisdiction action, enter "other."



I am filing an original jurisdiction matter and don't have a filing date or judgment date. How do I answer these questions?

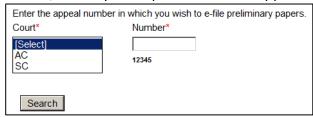
Enter the current year as the year in which the case was filed. Enter the current date as the judgment date.

I have filed a writ of error and need to upload the writ, the return and other documents. How should I upload these documents?

1. On the Appellate E-Filing Home Page, select "E-File Preliminary Papers or Appeal Documents in an Existing Appellate Matter" or search for your case using "List My Cases," "My E-Filed Items" or "Case Search." <u>A case will not be included in "List My Cases" until after the Office of the Appellate Clerk has verified that you have an appearance in the case.</u>



2. If you select "E-File Preliminary Papers or Appeal Documents in an Existing Appellate Matter," enter your Supreme Court or Appellate Court docket number.



3. If you search for your case, click on your docket number to bring up the case summary page. Choose "E-File Preliminary Papers/Appeal Documents" from the drop down menu at the top of the page.



- 4. Select "Writ of Error" or "Writ of Error supporting documents" as the type of preliminary paper/appeal document that you are filing.
- 5. Upload your document. Note: You must file each supporting document separately.

# Do I need to pay a filing fee?

		Statutory
The following appellate filing fees are required:	Fee	Authority
1. Appeal or Writ of Error to Supreme Court or Appellate Court—Entry Fee	\$250	52-259
*Exemption: No entry fee is due for original jurisdiction matters, reservations, workers	' compensatio	on matters, appeals

<sup>\*</sup>Exemption: No entry fee is due for original jurisdiction matters, reservations, workers' compensation matters, appeals from decisions of the Judicial Review Council or appeals from the Council on Probate Judicial Conduct.

- 2. Petition for Certification to Appeal to Supreme Court or Appellate Court \$75 52-259 \*Exemption: No fee is required to file a petition for certification to appeal in workers' compensation matters.
- 3. Motion to open or reargue judgment in civil appeal to the Supreme or Appellate Court, to reconsider any other civil matter or to rescind withdrawal of a civil appeal \$125 52-259c \*Exemption: No fee is required to file these motions in criminal matters, original jurisdiction matters, reservations, workers' compensation matters, appeals from decisions of the Judicial Review Council or appeals from the Council on Probate Judicial Conduct.
- 4. Motion for Permission to Appear Pro Hac Vice

\$600

52-259i

# [Top]

# Am I required to pay a fee to resubmit a document that was returned?

No. If the clerk's office asks you to resubmit a document, you do not need to pay the filing fee a second time. Check the box indicating that no fee is required.

#### What if I have a fee waiver?

If you have a valid fee waiver, check the box indicating that you have a fee waiver, enter the name of the judge who granted the fee waiver and enter the date that the judge granted the fee waiver. At the end of the e-filing transaction, upload a copy of the fee waiver form signed by the trial judge. IF YOU UPLOAD AN INVALID FEE WAIVER OR FAIL TO PAY A REQUIRED FEE, YOUR DOCUMENT WILL BE RETURNED OR REJECTED.

#### What forms of payment can I use to pay a filing fee?

E-Filing payment can be made by credit card (MasterCard, Visa, American Express or Discover) or by electronic check. In addition to the court fee, you must pay a service fee of 2.2% for credit card transactions. The service fee is collected and retained by LEXISNEXIS, which processes credit card transactions.

# What information do I need to provide to the other parties in my case and how should I deliver it?

Immediately after you file any appellate document, you must deliver a copy of that document to all counsel of record in the case. Counsel of record includes all attorneys and self-represented parties. Delivery should be made electronically by sending the document to the last known e-mail address for each counsel of record, unless (1) you have received written notice from counsel of record that they will not accept service electronically, or (2) an exclusion from e-filing requirements has been granted to you or to the person to whom you are delivering the document or (3) the electronic filing requirements do not apply to you or to the person to whom you are delivering the document. In these instances, you may deliver the documents by hand or by mail.

For example, because the electronic filing requirements do not apply to self-represented parties at this time, when you file an appeal in a matter that includes self-represented parties, you must mail or hand deliver a copy of the appeal form to them. **[Top]** 

# How can I request service of documents by non-electronic delivery or mail?

You must notify the appellate clerk and all counsel of record in writing that you will not accept electronic service of documents.

# How can I change my official e-mail or mailing address?

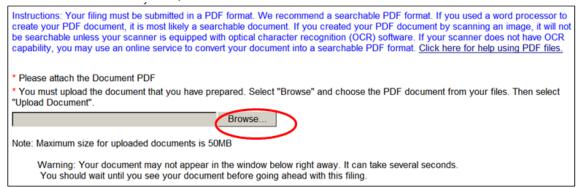
There are two ways to change your registered contact information: (1) log into E-Services and choose "Change of Information;" or (2) fill out a "Change of Information" form, JD-GC-10, and mail it to the address indicated on the form. The change process may take 24 hours or more.

When you are filing an appeal, you may provide updated contact information, but this does not constitute a formal change of address.

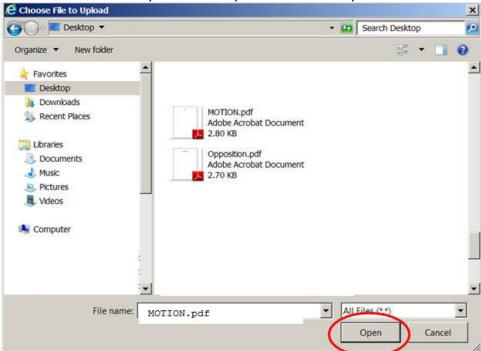
Am I required to deliver a copy of the appeal form to the Office of the Chief State's Attorney? Immediately after you file a criminal or habeas appeal, you must deliver a copy of the appeal form to the Office of the Chief State's Attorney or to the Office of the Attorney General, as appropriate.

# How do I upload a document?

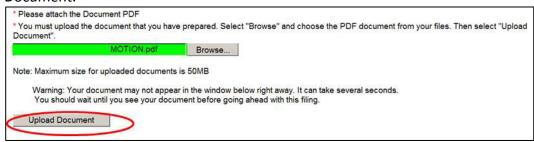
1. Select "Browse" to access your documents.



2. Choose the document you wish to upload and click "Open."



3. The name of the document will appear in the box next to "Browse." Click "Upload Document."



4. Review the uploaded document. If it is the correct document, check the certification box and click "Continue."

NOTE: Your filing must be submitted in a PDF format. We recommend a searchable PDF format. If you used a word processor to create your PDF document, it is most likely a searchable document. If you created your PDF document by scanning an image, it will not be searchable unless your scanner is equipped with optical character recognition (OCR) software. If your scanner does not have OCR capability, you may use an online service to convert your document into a searchable PDF format.

#### Am I required to remove (redact) or omit personal identifying information from documents I file?

Yes. To protect your privacy and the privacy of others and to comply with court rules:

- -Do *not* include *personal identifying information* in any document filed with the court unless you are required to by law or ordered by the court.
- -Use caution when filing a document that contains *sensitive personal, medical, or financial information*, including:
- -Information about medical or psychiatric treatment, diagnosis or prognosis;
- -Individual financial information;
- -Employment history;
- -Home addresses, telephone numbers or cell phone numbers;
- -Proprietary or trade secret information.

If you are filing documents that have this type of sensitive information, think about whether the information is necessary and relevant to the case. You may also think about removing (redacting) any parts of the document that are not relevant and necessary.

The Appellate Clerk does not review documents to see if personal identifying information or any other sensitive personal, medical, or financial information is included. The filer is responsible for omitting or removing (redacting) personal identifying information that is not required by law or court order, or sensitive personal, medical, or financial information.

[Top]

# Am I required to redact or omit information that is protected by court order, rule or statute?

Yes. The filer is responsible for redacting or omitting any information that is protected by court order, rule or statute, unless the court has granted permission to include the information.

# How do I file an appearance in a preappeal motion?

There are two ways to file an appearance in a preappeal motion. The first way is to upload your appearance form as a supporting document after you upload your preappeal motion. The second way is to:

- 1. File your preappeal motion
- 2. Select E-file an Appearance in an Existing Appellate Matter
- 3. Select Preappeal as the Type of Matter
- 4. Enter your Six Digit Preappeal Motion Number

# How do I file a motion to transfer my appeal from the Appellate Court to the Supreme Court?

1. On the Appellate E-Filing Home Page, select "E-File a Motion Before E-Filing an Appeal"



2. Enter the 5-digit Appellate Court docket number of the appeal you are seeking to transfer. For cross or amended appeals, please enter the first 5 digits only. For example, if your docket number is AC 12345X01, enter 12345.



3. The computer will search for your case and display the case name if it finds a match.

AC 12345 AETNA LIFE & CASUALTY v UNION TRUST COMPANY Is this the case associated with this filing? If YES, click here to continue. If NO, search again.

- 4. If the case name is correct, select "click here to continue." If the case name is not correct, return to the docket number field to search again.
- 5. Select "Motion to Transfer" as the preappeal motion you are filing.



6. Complete the remaining questions and submit your motion to transfer.

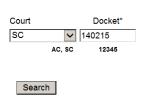
# How do I search for a preappeal motion or petition on the Appellate E-Filing Home Page?

1. Use "List My Cases," My E-Filed Items" or Search by Docket Number or Case Name.



2. If you search by docket number, enter the court and the six digit preappeal motion number or petition number.

Supreme and Appellate Court Docket Number Search



3. Click on the preappeal motion or petition number to access your case.



# [qoT]

#### What is a preliminary paper or appeal document?

Preliminary papers are documents required by Practice Book § 63-4. They include a preliminary statement of issues, a docketing statement, and a certificate regarding the transcript order, a preargument conference form, a constitutionality notice and a sealing notice.

Appeal documents are other documents filed in connection with an appeal that are not required by Practice Book § 63-4 and do not constitute motions, preappeal motions, appearances or petitions. For example, a notice to the Appellate Clerk indicating that a party does not intend to file a brief, would be e-filed as a "Preliminary Paper or Appeal Document," rather than as a motion, petition or preappeal motion.

# Can I file multiple filings in one transaction?

No. Each appeal, appearance, preliminary paper, appeal document, motion, petition, preappeal motion or opposition/response/withdrawal must be filed during a separate e-filing transaction so that the system can assign a number and provide a confirmation of filing. If you are filing a motion or petition that is accompanied by supporting documents, such as an affidavit, you may upload the supporting documents during the same e-filing transaction.

# Should I upload supporting documents separately or should I file my motion or petition and all supporting documents in a single PDF document?

The Office of the Appellate Clerk recommends that you first upload your motion or petition as a single PDF document and that you then upload any supporting documents as separate PDF documents. For example, if you are filing a motion to dismiss accompanied by an affidavit and a memorandum of law, you would upload three separate PDF files during the same e-filing transaction: 1) the motion; 2) the affidavit; and 3) the memorandum of law.